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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application No.	10/661,169
Filing Date	September 12, 2003
First Named Inventor	Drow Lionel O'YOUNG
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	A-71202/MSS (469332-20)

		,	ENCLOSURES	(ched	k all th	at apply)
Fee Trans	smittal Form		Assignment Papers (for an Application)			After Allowance Communication to Group
Fee Attac	ched		Drawing(s)	•		Appeal Communication to Board of Appeals and Interferences
Amendme	ent / Reply		Licensing-related Pa	pers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Fina	<b>ai</b>		Petition			Proprietary Information
Affidavits	/declaration(s)		Petition to Convert to Provisional Application			Status Letter
Extension	n of Time Request		Power of Attorney, Revocation Change of Correspondence Add			Other Enclosure(s) (please identify below):
Express A	Abandonment Request		Terminal Disclaimer			Form PTO/SB/08A (3 pgs.); and self-addressed stamped Postcard.
Information (3 pgs.)	on Disclosure Statement		Request for Refund			
Certified O	Copy of Priority		CD, No. of CD(s)			
<b>1</b> 1 '	e to Missing Parts/ te Application	Remarks				
	e to Missing Parts under 1.52 or 1.53					
	SIGNATURE	OF A	APPLICANT, ATTOI	RNEY, C	R AG	ENT
Firm <i>or</i> Individual name	or San Francisco, CA 94111					Number 32940
Signature	Telephone : 650-494-	7,00				
Date March 15, 2004		1 NV	* (6)	:		
	CERTIFICATE OF MAILING					
	I hereby certify that this correspondence is being deposited with the United States Postal Service with Sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:					
Typed or printed nan	ne Kari Bateman					
Signature My Dul					Date	March 15, 2004

**7** .





Attorney Docket No. A-71202/MSS (469332-20)
Dorsey File No. 469332-20

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

O'YOUNG et al.

U.S. Serial No.: 10/661,169

Filing Date: September 12, 2003

For: System and Method of Producing Bisphenol-A (BPA) Using Direct Crystallization of BPA in a Single

Crystallization Stage

Examiner: Not yet assigned

Art Unit:

Not yet assigned

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450 on March 15, 2004.

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Signed

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO/SB/08A.

Further, this application is a continuation-in-part of the following related U.S. Application - Serial No. 10/099,227, filed March 13, 2002 (pending). Applicant wishes to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO/SB/08A. Since these references were previously disclosed in the above-mentioned application, in accordance with 37 C.F.R. § 1.98(d), no copies of these references are enclosed.

U.S. Serial No.:

10/661,169

Filing Date:

September 12, 2003

None of the foregoing references is believed to disclose the invention as claimed.

Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

As far as is known to the undersigned, this Information Disclosure Statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national state in an international application, or before the mailing date of a first Office Action on the merits as set forth in 37 C.F.R. § 1.97(b), and therefore no fee is required.

While no fee is believed to be due, if this belief is in error the Commissioner is authorized to charge any additional fees, including extension fees or other relief which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 469332-20 [A-71202/MSS]).

PA-1070616\_1

U.S. Serial No.:

10/661,169

Filing Date:

September 12, 2003

Please direct any calls in connection with this application to the undersigned at (650) 494-8700.

Respectfully submitted,

DORSEY & WHITNEY LLP

Dated: March 15, 2004

BY:

Maria Swiatek, Reg. No. 37,244

Customer Number: 32940

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Attachment:

Form PTO/SB/8A (3 pgs.)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE. Complete if Known Substitute for form 1449A/PTO (Modified) 10/661,169 **Application Number INFORMATION DISCLOSURE** September 12, 2003 Filing Date STATEMENT BY APPLICANT **Drow Lionel O'YOUNG** First Named Inventor 1621 Art Unit (use as many sheets as necessary) Not yet assigned **Examiner Name** A-71202/MSS (469332-20) 3 1 Attorney Docket Number of Sheet

Examiner Initials*	Cite No.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Releva Passages or Relevant Figures Appear
	A1	1,978,949	10-30-1934	Kohn et al.	
	A2	2,191,831	02-27-1940	Perkins et al.	
	A3	<del>                                     </del>	<u> </u>	Williamson et al.	<del></del>
	<del></del>	2,730,553	01-10-1956	<del></del>	
	A4	2,775,620	12-25-1956	Williamson et al.	<del></del>
	A5	2,791,616	05-07-1957	Luten, Jr. et al.	<del> </del>
<u> </u>	A6	3,164,640	01-05-1965	Bostian et al.	<del></del>
	A7	3,326,986	06-20-1967	Dugan et al.	
	A8	3,972,950	08-03-1976	Arien Kwantes	
	A9	4,053,522	10-11-1977	McClure et al.	
	A10	4,079,087	03-14-1978	Yun Chung Sun	
	A11.	4,141,924	02-27-1979	Yun C. Sun	
	A12	4,191,843	03-04-1980	Kwantes et al.	-
	A13	4,209,646	06-24-1980	Gac et al.	
	A14	4,294,994	10-13-1981	Ming K. Li	
	A15	4,308,404	12-29-1981	Kwantes et al.	
	A16	4,308,405	12-29-1981	Arien Kwantes	
	A17.	4,354,046	10-12-1982	Ladwig et al.	
	A18	4,369,293	01-18-1983	Heydenreich et al.	
	A19	4,391,997	07-05-1983	Ashkok K. Mendiratta	
_	A20	4,400,555	08-23-1983	Ashkok K. Mendiratta	
	A21	4,443,635	04-17-1984	William A. McLaughlin	
	A22	4,492,807	01-08-1985	Viney P. Aneja	
·	A23	4,514,574	04-30-1985	Inoue et al.	
	A24	4,529,823	07-16-1985	Ashkok K. Mendiratta	
	A25	4,533,764	08-06-1985	Chang et al.	
	A26	4,590,303	05-20-1986	Ashkok K. Mendiratta	
	A27.	4,638,102	01-20-1987	Douglas J. Little	n to the second
	A28	4,740,635	04-26-1988	Gomes de Matos et al.	-
	A29	4,820,740	04-11-1989	Simon M. Li	
	A30	4,847,433	07-11-1989	Gaylord M. Kissinger	
	A31	4,859,803	08-22-1989	Paul V. Shaw	
	A32	4,861,919	08-29-1989	Robbins et al.	
	A33	4,906,789	03-06-1990	Grzywa et al.	
	A34	4,927,973	05-22-1990	Dong et al.	<del>                                     </del>
<del></del>	A35	4,927,978	05-22-1990	Buechele et al.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

Substitute for form 1449A/PTO		Complete if Known			
(Modified)  INFORMATION DISCLOSURE				Application Number	10/661,169
				Filing Date	September 12, 2003
S	STATEMENT BY APPLICANT			First Named Inventor	Drow Lionel O'YOUNG
				Art Unit	1621
(use as many sheets as necessary)			cessary)	Examiner Name	Not yet assigned
Sheet	2	of	3	Attorney Docket Number	A-71202/MSS (469332-20)

-			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A36	5,008,470	04-16-1991	Powell et al.	
	A37	5,015,784	05-14-1991	Rudolph et al.	
-	A38	5,059,721	10-22-1991	Powell et al.	
	A39	5,075,511	12-24-1991	Simon M. Li	
	A40	5,105,026	04-14-1992	Powell et al.	
	A41	5,198,591	03-30-1993	Kiedik et al.	
	A42	5,210,329	05-11-1993	Gomes de Matos et al.	
	A43	5,269,887	12-14-1993	Jakob et al.	
	A44	5,288,926	02-22-1994	Patrascu et al.	
	A45	5,300,700	04-05-1994	Malamet et al.	
	A46	5,302,774	04-12-1994	Berg et al.	
	A47.	5,315,042	05-24-1994	Cipullo et al.	
	A48	5,324,867	06-28-1994	Asaoka et al.	
	A49	5,345,000	09-06-1994	Moriya et al.	
	A50	5,368,827	11-29-1994	Moriya et al.	
	A51.	5,371,304	12-06-1994	Asaoka et al.	
	A52	5,382,712	01-17-1995	Asaoka et al.	
	A53	5,395,857	03-07-1995	Berg et al.	
	A54	5,399,784	03-21-1995	Asaoka et al.	
	A55	5,414,151	05-09-1995	Pressman et al.	
	A56	5,434,316	07-18-1995	Gaylord M. Kissinger	
	A57.	5,475,152	12-12-1995	Kissinger et al.	
	A58	5,502,016	03-26-1996	Kiedik et al.	·
	A59.	5,512,700	04-30-1996	Patrascu et al.	
	A60	5,545,764	08-13-1996	Berg et al.	
	A61.	5,629,457	05-13-1997	Zhang et al.	
	A62	5,648,561	07-15-1997	Tan et al.	
	A63	5,969,295	12-09-1997	Wulff et al.	
	A64	5,698,600	12-16-1997	Wulff et al.	
i	A65	5,723,688	03-03-1998	Patrascu et al.	
	A66	5,756,860	05-26-1998	Meurer et al.	
	A67	5,777,180	07-07-1998	June et al.	
	A68	5,780,690	07-14-1998	Berg et al.	
	A69	5,783,733	07-21-1998	Gaylord Michael Kissinger	
	A70	5,785,823	07-28-1998	Meurer et al.	

Evaminor	Date	
Examiner	Considered	
Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup> Applicant is to place a check mark here if English Language Translation is attached.

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				U.S. Patent and	Trademar Office, 0.3. DEPARTMENT OF COMIN	TENCE.	
Substitute for form 1449A/PTO				Complete if Known			
	(Modi	itied)		Application Number	10/661,169	··· <del>-</del>	
11	NFORMATION	DISC	CLOSURE	Filing Date	September 12, 2003		
STATEMENT BY APPLICANT				First Named Inventor	Drow Lionel O'YOUNG		
				Art Unit	1621		
	(use as many shee	ets as ne	cessary)	Examiner Name	Not yet assigned		
Sheet	3	of	3	Attorney Docket Number	A-71202/MSS (469332-20)		

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	A71	5,786,522	07-28-1998	Michael J. Cipullo			
	A72	5,914,431	06-22-1999	Gerhard Fennhoff			
	A73	5,919,990	07-06-1999	Parfait J. M. Likibi			
•	A74	6,191,316 B1	02-20-2001	Fennhoff et al.			
	A75	2003-0181768 A1	09-25-2003	O'Young et al.			
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Examiner Signature	Date Considered

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